



Use this form to transfer your current assets to a Lord Abbett 403(b). Lord Abbett can accept transfers within the plan—referred to as a “contract exchange”—to your Lord Abbett 403(b) account from another 403(b) account only if your employer permits transfers to Lord Abbett. **Please consult with your financial professional prior to initiating a transfer.**

Please complete the attached Information Sharing Agreement (ISA). **Please note:** The ISA is required on the initial plan setup only if outside assets are being transferred into Lord Abbett.

**Mail completed form to:** Lord Abbett Service Center, P.O. Box 219198, Kansas City, MO 64121-9198  
**Overnight mail:** Lord Abbett Distributor LLC, 330 West 9th Street, Kansas City, MO 64105-1514  
**Call Lord Abbett for assistance:** 888-223-0020 (Monday—Thursday between 8:30 a.m.–6:00 p.m. ET and Friday between 8:30 a.m.–4:00 p.m. ET)

**Note:**

- Make check(s) payable to: **Lord Abbett Funds**. (Please include participant’s name, Social Security number, and account number.)
- Please forward a copy of a current account statement for the account you wish to transfer to Lord Abbett.
- Retain a copy of this 403(b) Transfer Form for your records.
- A complete **403(b) Application is also required for all new accounts.**

**STEP 1: PARTICIPANT REGISTRATION INFORMATION (Military Post Office or Fleet Post Office will be accepted)**

Participant Name (First Name, MI, Last Name)	Social Security Number		
Street Address (P.O. boxes not accepted)	City	State	Zip Code
Email Address	Daytime Phone Number		
Investment Professional Name	Investment Professional Phone Number (required)		

**STEP 2: INVESTMENT INSTRUCTIONS**

Please choose investment option A or B.

- A.**  Invest proceeds in a new Lord Abbett account. (I have attached a completed 403(b) application.)
- B.**  Invest proceeds in my existing Lord Abbett 403(b) account according to the following:

Lord Abbett Fund Name(s)	Account Number	Percentage (Total must equal 100%)

**Please note: We cannot accept the transfer of an existing 403(b) loan currently with another financial institution.**

**STEP 3: ACCOUNT YOU ARE TRANSFERRING**

Trustee/Custodian currently holding your account:

Existing Trustee/Custodian	Contact Name		
Existing Trustee/Custodian Street Address	City	State	Zip Code
Phone Number	Account Number		

**Note:** Insurance companies often have their own surrender requirements. Please check with your carrier to avoid delays in processing.  
**Instructions to Existing 403(b) Trustee/Custodian:** All non-Lord Abbett funds must be liquidated prior to transfer.



**MODEL 403(B) PLAN INFORMATION SHARING AGREEMENT**  
**(Only one form required per Employer and Lord Abbett Distributor LLC)**

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Name of Employer ("Employer")

Lord Abbett Distributor LLC ("Service Provider")

The Employer represents to the Service Provider that the Employer maintains (or will maintain on or before January 1, 2019, or such later compliance date as may be established) a written plan complying with the regulations under section 403(b) of the Internal Revenue Code ("Code"), and that among other things, the plan provides the exchange.

The Employer represents to the Service Provider that it will notify the Service Provider promptly in writing in the event that it ceases to be an eligible employer under Code section 403(b) or to maintain the plan.

The Employer and Service Provider agree to, from time to time in the future, provide each other with the following:

- Information necessary for the resulting contract/custodial account, or any other contract/custodial account to which contributions have been made by the Employer, to satisfy Code section 403(b), including information concerning the participant's employment and information that takes into account other Code section 403(b) contracts/custodial accounts or qualified employer plans (such as whether a severance from employment has occurred for purposes of the distribution restrictions in Treasury Regulation section 1.403(b)-6 and whether the hardship withdrawal rules of Treasury Regulation section 1.403(b)-6(d)(2) are satisfied).
- Information necessary for the resulting contract/custodial account, or any other contract/custodial account to which contributions have been made by the Employer, to satisfy other tax requirements (such as whether a plan loan satisfies the conditions in Code section 72(p)(2) so that the loan is not a deemed distribution under Code section 72(p)(1)).
- In the event an eligible rollover distribution is received that includes after tax employee contributions or designated Roth contributions, information regarding the participant's basis under Code section 72 in the amount rolled over.
- Any other information necessary to ensure compliance with applicable laws and regulations.

It is also understood that the Employer may authorize a third party to provide this information, and Employer recognizes that the Service Provider or its designee will not perform calculations (such as expressly agreed to do so in writing with the Employer).

The Parties agree that each is obligated to provide only information available on its records, or the records of any designee, and the Service Provider does not guarantee the accuracy of any information that is based on certification by a participant or a previous service provider.

The Parties agree that each of their authorized representatives will maintain as confidential any information provided by the other pursuant to this Agreement unless otherwise required by law.

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Name of Authorized Representative of Employer

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Signature of Authorized Representative of Employer

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Date

LORD ABBETT DISTRIBUTOR LLC

By: Lord Abbett & Co. LLC, its Managing Member

By: *Lawrence B. Stoller*

Name: Lawrence B. Stoller, J.D.

Title: Member

Date: \_\_\_\_\_