LORD ABBETT®

SIMPLE IRA TRANSFER FORM

Complete only if you are transferring assets from an existing SIMPLE IRA to the Lord Abbett SIMPLE IRA.

Make check(s) payable to: **Lord Abbett Family of Funds** (please include participant's name, Social Security number, and account number).

- **Please forward a copy of a current SIMPLE IRA statement of the account you wish to transfer to Lord Abbett.**
- A complete SIMPLE IRA application is also required for all **NEW** accounts.
- Retain a photocopy of this Transfer Form for your records.

Mail completed form to: Lord Abbett Service Center, P.O. Box 219604, Kansas City, MO 64121
Overnight mail: Lord Abbett Service Center, 330 West 9th Street, Kansas City, MO 64105
If you have any questions, please call: 888-223-0020 (Monday—Thursday between 8:30 a.m.–6:00 p.m. ET and Friday between 8:30 a.m.–4:00 p.m. ET)

Note: If you will be age 70½ or older during the current calendar year, you must take out your required minimum distribution (RMD) from your SIMPLE IRA before completing a transfer.

STEP 1: PARTICIPANT INFORMATION

Name (First Name, MI, Last Name) | Social Security Number

Street Address (P.O. boxes not accepted) | City | State | Zip Code

Email Address | Daytime Phone Number

STEP 2: INVESTMENT INSTRUCTIONS

Please choose investment option A or B.

A. ☐ Invest proceeds in a new Lord Abbett account. (I have attached a completed Lord Abbett SIMPLE IRA Employee Application.)

B. ☐ Invest proceeds in my existing Lord Abbett SIMPLE IRA Account according to the following:

Lord Abbett Fund Name(s)	Account Number	Dollar Amount or Whole Percentage (Total must equal 100%.)

STEP 3: ACCOUNT YOU ARE TRANSFERRING

Trustee/Custodian currently holding your account:

Name of Existing Trustee/Custodian | Contact Name (First Name, MI, Last Name)

Address of Trustee/Custodian | City | State | Zip Code

Contact's Phone Number (required) | Account Number

STEP 4: TRANSFER INSTRUCTIONS

Instructions to custodian of existing account:

I have established a Lord Abbett SIMPLE IRA with UMB Financial Corporation (UMB Bank) as custodian. Please withdraw assets from my account in your custody in the following manner:

If the account previously listed in Step 3 contains shares of Lord Abbett fund(s), you may choose to transfer them "in-kind" (see Option 2). To transfer all other assets, they must be liquidated.

Option 1

☐ Please liquidate the account(s) listed in Section 3 and issue a check(s) payable to **Lord Abbett Funds.**

Amount to liquidate: ☐ All ☐ Partial liquidation of $__________

When to liquidate: ☐ Immediately ☐ At maturity ____/____/____ (Maturity date must be within 60 days.)

Maturity Date (MM/DD/YYYY)

Option 2

☐ Please transfer "in-kind" to the Lord Abbett fund(s) held in the account listed in Step 3. **All non–Lord Abbett funds will be liquidated.**

STEP 5: YOUR INVESTMENT PROFESSIONAL'S INFORMATION

A. Investment Professional

Name (First Name, MI, Last Name) ______ Phone Number ______ Identification Number ______

Email Address ______

B. Investment Dealer Firm

Name ______ Phone Number (if different from above) ______

Email Address (if different from above) ______ Branch/Agency ______ Branch Number ______

Branch Street Address ______ City ______ State ______ Zip Code ______

STEP 6: AUTHORIZATIONS

Shareholder Authorization: I hereby authorize UMB Bank to deposit the assets received from my existing SIMPLE IRA according to the terms stated in this form. I hereby acknowledge that strict requirements must be met to qualify for tax-free transfer treatment; I hereby certify that the SIMPLE IRA from which assets are being transferred meets the requirements of Internal Revenue Code Section 408(p).

I also understand that if I am over age 70½, my existing custodian may be required to withhold any RMDs under Internal Revenue Code Section 401(a)(9) from this transfer.

A prospectus contains more complete information about a fund, including risks, charges, and expenses. Read the prospectus carefully before investing. I acknowledge receipt of the current prospectus(es) for each Lord Abbett–managed fund in which an investment is to be made; I acknowledge receipt of the SIMPLE IRA Custodial Account Agreement, and I represent that I have signed the Lord Abbett SIMPLE IRA application.

Signature ______ Date ____/____/____

Custodian's Acceptance

UMB Bank hereby accepts its appointment as custodian of the above SIMPLE IRA account and, upon receipt of assets, will deposit such assets in a Lord Abbett SIMPLE IRA on behalf of the participant authorizing this transfer.

UMB BANK, CUSTODIAN

STEP 7: MEDALLION SIGNATURE GUARANTEE (only if required by current SIMPLE IRA Sponsor)

If you are liquidating securities, your existing SIMPLE IRA trustee/custodian may require a signature guarantee. To obtain a signature guarantee, sign this form in the presence of an authorized person at a broker/dealer firm or other financial institution, such as a bank or trust company. A notarization from a notary public does not meet signature guarantee requirements. Medallion Signature Guarantees must cover the amount of the requested transaction. There are several different guarantee amounts, so it is important to acquire a guarantee amount equal to or greater than the amount of the transaction(s).	**Please place Medallion Signature Guarantee here.**