



Use this form to transfer your Coverdell Education Savings Account assets to a Lord Abbett Coverdell Education Savings Account.

Make check(s) payable to: Lord Abbett Funds (Please include student's name, Social Security number, and account number.)

- Please forward a copy of a current account statement of the account you wish to transfer to Lord Abbett.
- A complete Coverdell Education Savings Account Application is also required for all new accounts.
- Retain a photocopy of this Coverdell Education Savings Account Transfer Form for your records.

Mail completed form to: Lord Abbett Service Center, PO Box 219336, Kansas City, MO 64121-9336

Overnight Mail: Lord Abbett Distributor LLC, 330 West 9th Street, Kansas City, MO 64105-1514

If you have any questions, please call us: 888-522-2388 (Monday-Friday, between 8:30 a.m. and 6:00 p.m. Eastern Time)

STEP 1: STUDENT INFORMATION

Student Name (First Name, MI, Last Name)		Social Security Number	Date of Birth (MM/DD/YYYY)
Street Address (P.O. boxes not accepted)	City	State	Zip Code
Email Address	Daytime Phone Number		

STEP 2: INVESTMENT PROFESSIONAL'S INFORMATION (This section to be completed by broker/dealer.)

Investment Professional's Name	Investment Professional's Phone Number (required)		
Investment Professional's Dealer Firm	Investment Firm's Phone Number (if different from above)		
Branch/Agency	Branch Number		
Branch Street Address	City	State	Zip Code
Investment Professional's Identification Number	Investment Professional's Signature		

STEP 3: INVESTMENT INSTRUCTIONS

Please choose investment option A or B.

A.  Invest proceeds in a new Lord Abbett account. (I have attached a completed Coverdell Education Savings Account Application.)

B.  Invest proceeds in my existing Lord Abbett Coverdell Education Savings Account according to the following:

Lord Abbett Fund Name(s)	Account Number	Dollar Amount or Whole Percentage (Total must equal 100%)
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

**STEP 4: ACCOUNT YOU ARE TRANSFERRING**

Trustee/Custodian currently holding your account:

Name of Existing Trustee/Custodian \_\_\_\_\_ Contact Name (First Name, MI, Last Name) \_\_\_\_\_

Address of Trustee/Custodian \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Contact's Phone Number (required) \_\_\_\_\_ Account Number \_\_\_\_\_

**STEP 5: TRANSFER INSTRUCTIONS**

Instructions to custodian of existing account:

I have established a Lord Abnett Education Savings Account with UMB Financial Corporation ("UMB Bank") as Custodian. Please withdraw assets from my account in your custody in the following manner:

If the account previously listed in Section 3 contains shares of Lord Abnett fund(s), you may choose to transfer them "in-kind" (see Option 2). To transfer all other assets, they must be liquidated.

**Option 1**

Please liquidate the account(s) listed in Section 3 and issue a check(s) payable to **Lord Abnett Funds**.

**Amount to liquidate:**  All  Partial liquidation of \$ \_\_\_\_\_

**When to liquidate:**  Immediately  At maturity\*      /      /     

Maturity Date  
(MM/DD/YYYY)

**Option 2**

Please transfer "in-kind" to the Lord Abnett fund(s) held in the account listed in Section 3. **All non-Lord Abnett funds will be liquidated.**

\* Transfer instructions of CD proceeds must be received at least two weeks before maturity, but no more than 60 days until maturity. If you liquidate a CD before maturity, you may incur a penalty.

**STEP 6: SIGNATURE AND AGREEMENT**

The undersigned certifies to the present custodian or trustee that the undersigned has established a successor Coverdell Education Savings Account meeting the requirements of Internal Revenue Code to which assets will be transferred, and certifies to UMB Financial Corporation ("UMB Bank") that the Coverdell Education Savings Account from which assets are being transferred meets the requirements of Internal Revenue Code Section 530(b)(1)(B).

The undersigned acknowledges receipt of the current prospectus(es) for each Lord Abnett-managed fund in which an investment is to be made; the undersigned acknowledges receipt of the Lord Abnett Custodial Account Adoption Agreement and Coverdell Education Savings Account Disclosure Statement, and the undersigned represents that he/she has signed the Coverdell Education Savings Account Application and Custodial Account Adoption Agreement.

Signature of  Student  Parent  Guardian \_\_\_\_\_ Date      /      /     

**Special Note:** If Student is a minor under the law of Student's state of residence, the parent or guardian must execute this Coverdell Education Savings Account Transfer Form.

**Signature Guarantee:** If you are liquidating securities, your existing Education Savings Account trustee/custodian may require a signature guarantee. To obtain a signature guarantee, sign this form in the presence of an authorized person at a broker/dealer firm or other financial institution, such as a bank or trust company. A notarization from a notary public does not meet signature guarantee requirements.

Signature Guarantee Stamp and Authorized Signature and Title \_\_\_\_\_

<p>Signature Guarantee:</p> <p>If you are liquidating securities, your existing Education Savings Account trustee/custodian may require a signature guarantee. To obtain a signature guarantee, sign this form in the presence of an authorized person at a broker/dealer firm or other financial institution, such as a bank or trust company. A notarization from a notary public does not meet signature guarantee requirements.</p>	<p><b>Please place Signature Guarantee here.</b></p>
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## STEP 7. CUSTODIAN ACCEPTANCE

UMB Bank agrees to accept the transfer of the above amount for deposit to the Student's UMB Bank Coverdell Education Savings Custodial Account, and requests the liquidation and the transfer of assets as indicated above.

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UMB Bank, Custodian Signature

## STEP 8. CUSTODIAN ACCEPTANCE

All non-Lord Abbett funds must be liquidated before transfer. Send completed and signed Coverdell Education Savings Account Transfer Form to:

**Regular Mail:** Lord Abbett Service Center, PO Box 219336, Kansas City, MO 64121-9336

**Overnight Mail:** Lord Abbett Distributor LLC, 330 West 9th Street, Kansas City, MO 64105-1514

Make check(s) payable to: **Lord Abbett Funds**. Please indicate the Lord Abbett fund, account number, and Social Security number of the Coverdell Education Savings Account holder on all documents.