

Mutual Fund (Non-IRA) Transfer Form



LORD ABBETT®

Use this form to transfer proceeds of a nonretirement certificate of deposit (CD), mutual fund, or other investment to a Lord Abbett mutual fund account.

New Lord Abbett Account: Use this form, together with the **Mutual Fund Account Application**, to establish a new Lord Abbett mutual fund account as a result of a transfer.

Existing Lord Abbett Account: Use this form to transfer your account into an existing Lord Abbett mutual fund account.

- Please forward a copy of a current account statement for the account you wish to transfer to Lord Abbett.
- Retain a photocopy of this form for your records.

Important information about procedures for opening a new account required by the U.S.A. PATRIOT ACT: To help the government fight the funding of terrorism and money-laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

TRANSFER AN ACCOUNT

When you open an account, we will require:

- Name
- Social Security number or tax identification number
- Date of birth
- Address

We may ask for other information that will allow us to identify you. We may also ask for copies of documents. If we are unable to obtain your required information, we will return your application. Your moneys will not be invested until we have obtained all required information. Please be sure to review and sign this application under **Step 6**.

Mail completed form to: Lord Abbett Funds Service Center, P.O. Box 534489, Pittsburgh, PA 15253-4489

Overnight mail: Lord Abbett Funds Service Center, Attention: 534489, 500 Ross Street, 154-0520, Pittsburgh, PA 15262

Fax: 844-761-0139 (Advisors are permitted to fax in paperwork provided the Medallion Signature Guarantee is legible.)

Call Lord Abbett for assistance: 888-522-2388 (Monday – Friday between 8:00 a.m. and 5:30 p.m. ET)

STEP 1: OWNER ACCOUNT INFORMATION

Owner Name (First Name, MI, Last Name)	Social Security Number	Date of Birth (MM/DD/YYYY)	Daytime Phone Number
Joint Owner Name (First Name, MI, Last Name)	Social Security Number	Date of Birth (MM/DD/YYYY)	Daytime Phone Number

STEP 2: INVESTMENT INSTRUCTIONS

Please choose investment option A or B.

A. ☐ Invest proceeds in a new Lord Abbett account.

Please note that a Mutual Fund Account application is required if proceeds are to be invested in a new Lord Abbett account.

B. ☐ Invest proceeds in my existing* Lord Abbett account(s) according to the following:

Lord Abbett Fund Name(s)	Lord Abbett Account Number(s)	Percentage (Total must equal 100%)

*Please consult your tax or financial advisor regarding transfers between different types of accounts.

STEP 3: ACCOUNT YOU ARE TRANSFERRING

Financial institution currently holding your account:

Name of Financial Institution (please see Special Note in Step 5)

Address of Financial Institution (required)

City

State

Zip Code

Contact Name

Contact's Phone Number
(required)

Account Number (required)

STEP 4: TRANSFER INSTRUCTIONS

Instructions to financial institution currently holding your account:

Type of account being transferred:

☐ CD ☐ Mutual Fund ☐ Other _____

Transfer instructions:

☐ **Transfer Non-Lord Abbett Funds** (these funds must be liquidated):

Please liquidate the account listed in Step 3 and issue a check payable to: Lord Abbett Funds.

Amount to liquidate: ☐ All ☐ Partial liquidation of \$ _____

When to liquidate: ☐ Immediately ☐ At maturity* _____ / _____ / _____
Maturity Date
(MM/DD/YYYY)

***Important:** Transfer instructions of CD proceeds must be received at least two weeks prior to maturity but no more than 60 days until maturity. If you liquidate a CD prior to maturity, you may incur a penalty.

☐ **Transfer Lord Abbett Funds:**

Please transfer the account listed in Step 3.

Transfer my shares "in-kind" to the following Lord Abbett funds:

Fund Name

All Shares

_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, # of shares _____)
_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, # of shares _____)
_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, # of shares _____)
_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, # of shares _____)
_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, # of shares _____)

Special Note: For all transfers, you must contact your current financial institution to ensure that all requirements are met.

STEP 5: SIGNATURE AND AGREEMENT

All owners of the account being transferred must sign below.

Authorized Owner Signature (legal capacity, if needed; for example, trustee, executor) _____ / ____ / ____
Date

Authorized Joint Account Owner Signature (if applicable) _____ / ____ / ____
Date

STEP 6: MEDALLION SIGNATURE GUARANTEE (if required)

A Medallion Signature Guarantee is required on the Mutual Fund (Non-IRA) Transfer Form. To obtain a Medallion Signature Guarantee, sign this form in the presence of an authorized person at a broker/dealer firm or at another financial institution, such as a bank or trust company. A notarization from a notary public does not meet Medallion Signature Guarantee requirements.

Note: There may be other unique situations that require a Medallion Signature Guarantee. The Lord Abbett Funds and their transfer agent accept Medallion Signature Guarantees executed by an eligible issuer participating in the Securities Transfer Agents Medallion Program 2000 (STAMP2000). Eligible issuers include U.S. domestic banks, credit unions, savings associations (including savings and loan associations), trust companies, national securities exchanges, registered securities associations, and clearing agencies. Also acceptable are broker/dealers, municipal securities broker/dealers, and government securities broker/dealers whose net capital exceeds \$100,000. For your protection, a Medallion Signature Guarantee is required for certain requests. Notarized signatures or signature guarantees from financial institutions that are not participating in one of these programs will not be accepted.

Please place Medallion Signature Guarantee here.

Important:
To expedite the transfer process, please provide a copy of your most recent statement or the latest holdings from your account.