LORD ABBETT®

IRA TRANSFER APPLICATION

New Lord Abbett Account: Use this form, together with the **IRA Application**, to establish a new Lord Abbett IRA as a result of a transfer.

Existing Lord Abbett Account: Use this form to transfer your account, either from another financial institution or from another existing Lord Abbett account, into an existing Lord Abbett IRA.

- Please forward a copy of a current account statement for the account you wish to transfer to Lord Abbett.
- Retain a photocopy of this form for your records.

Important information about procedures for opening a new account required by the U.S.A. PATRIOT ACT: To help the government fight the funding of terrorism and money-laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

OPENING AN ACCOUNT

When you open an account, we will require:

- Name
- Social Security number or tax identification number
- Date of birth
- Address

We may ask for other information that will allow us to identify you. We may also ask for copies of documents. If we are unable to obtain your required information, we will return your application. Your moneys will not be invested until we have obtained all required information. Please be sure to review and sign this application under **Step 6**.

Mail completed application to: Lord Abbett Service Center, PO Box 219336, Kansas City, MO 64121-9336
Overnight Mail: Lord Abbett Distributor LLC, 330 West 9th Street, Kansas City, MO 64105-1514
Call Lord Abbett for assistance: 800-821-5129

FREE FEDEX SHIPPING

We are offering FREE FedEx overnight shipping for your IRA paperwork. Simply call 888-522-2388 and select option 2 to speak with a Lord Abbett representative to obtain the FedEx information. Send IRA paperwork to:

Lord Abbett Distributor LLC
330 West 9th Street
Kansas City, MO 64105-1514

Please select Priority Overnight shipping.

STEP 1: ACCOUNT OWNER INFORMATION

Owner Name (First Name, MI, Last Name) _____ Social Security Number _____ Date of Birth (MM/DD/YYYY) ___/___/___

Email Address _____ Daytime Phone Number _____

STEP 2: INVESTMENT INSTRUCTIONS

Please choose investment option A or B.

A. ☐ Invest proceeds in a new Lord Abbett account. B. ☐ Invest proceeds in my existing* Lord Abbett IRA account(s) according to the following:

Lord Abbett Fund Name(s) (If no investment elections are selected, any contributions will be invested in the Lord Abbett U.S. Government & Government Sponsored Enterprises Money Market Fund.)	**Share Class (A or C)** (If a share class is not selected, Class A shares will be purchased.)	**Lord Abbett Account Number(s)**	**Percentage** (Total must equal 100%)

Please note: Effective November 29, 2013, the Lord Abbett Diversified Income Strategy Fund changed its name to Lord Abbett Multi-Asset Income Fund, the Lord Abbett Balanced Strategy Fund changed its name to Lord Abbett Multi-Asset Balanced Opportunity Fund, the Lord Abbett Growth & Income Strategy Fund changed its name to Lord Abbett Multi-Asset Growth Fund, and the Lord Abbett Global Allocation Fund changed its name to Lord Abbett Multi-Asset Global Opportunity Fund.

*Please consult your tax or financial advisor regarding transfers between different types of accounts.

STEP 3: ACCOUNT YOU ARE TRANSFERRING

Trustee/Custodian currently holding your account:

Name of Existing Trustee/Custodian (please see Special Note in Step 5) _____

Address of Trustee/Custodian (required) _____ City _____ State _____ Zip Code _____

Contact Name _____ Contact's Phone Number (required) _____ Account Number to be Transferred (required) _____

STEP 4: TYPE OF ACCOUNT YOU ARE TRANSFERRING

Please check one:

☐ Traditional IRA ☐ Roth Conversion IRA ☐ SIMPLE IRA (Account must be at least two years old before transfer.)

☐ Rollover IRA ☐ Roth Contributory IRA ☐ Decedent Name: _____

☐ SEP-IRA/SARSEP IRA ☐ 403(b)/401(k)/TSP/PSP Decedent Tax ID: _____

STEP 5: TRANSFER INSTRUCTIONS

Instructions to custodian of existing account:

☐ **Transfer Non–Lord Abbett Funds** (these funds must be liquidated):
Please liquidate the account listed in Step 3 and issue a check payable to: Lord Abbett Funds.

Amount to liquidate: ☐ All ☐ Partial liquidation of $_____

When to liquidate: ☐ Immediately ☐ At maturity* ___/___/_____
Maturity Date
(MM/DD/YYYY)

Important:
To expedite the transfer process, please provide a copy of your most recent statement or the latest holdings from your account.

***Important:** Transfer instructions of CD proceeds must be received at least two weeks before maturity but no more than 60 days until maturity. If you liquidate a CD before maturity, you may incur a penalty.

☐ **Transfer Lord Abbett Funds:** Please transfer the account listed in Step 3. Transfer my shares "in-kind" to the following Lord Abbett funds:

Fund Name	All Shares	
_____	☐ Yes	☐ No (If No, # of shares _____)
_____	☐ Yes	☐ No (If No, # of shares _____)
_____	☐ Yes	☐ No (If No, # of shares _____)
_____	☐ Yes	☐ No (If No, # of shares _____)
_____	☐ Yes	☐ No (If No, # of shares _____)

Special Note: For all transfers, you must contact your current financial institution to ensure that all requirements are met.

STEP 6: SIGNATURE AND AGREEMENT

The undersigned certifies to the present custodian or trustee that the undersigned has established an Individual Retirement Custodial Account meeting the requirements of Internal Revenue Code Section 408(a), 408(k), or 408A (as the case may be) to which assets will be transferred, and certifies to State Street Bank and Trust Company that the account from which assets are being transferred meets the requirements of Internal Revenue Code Section 401(a), 403(b), 408(a), 408(k), 408A, or 457(b) (whichever case may be appropriate).

Tax Certification: Under penalties of perjury, I certify that: (1) the Social Security or tax identification number (TIN) shown on this application is correct (or I am waiting for a number to be issued to me); and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the Internal Revenue Service (IRS) has notified me that I am no longer subject to backup withholding; and (3) I am a U.S. person (including a U.S. resident alien). Note: The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

A prospectus or summary prospectus contains important information about a fund, including a fund's investment objectives, risks, charges, and ongoing expenses, that an investor should carefully consider before investing. To obtain a prospectus or summary prospectus on any Lord Abbett mutual fund, please contact your financial advisor or Lord Abbett Distributor LLC at 888-522-2388 or visit our website at lordabbett.com. Read the prospectus carefully before investing.

The undersigned acknowledges receipt of the current prospectus(es) for each Lord Abbett–managed fund in which an investment is to be made; the undersigned acknowledges receipt of the "State Street Bank and Trust Company Universal Individual Retirement Account Custodial Agreement," and the undersigned represents that he/she has signed the Lord Abbett IRA application and that it has been executed.

_____ ___/___/_____
Signature of individual (or minor's guardian if applicable) Date

Signature Guarantee: If you are liquidating securities, your existing trustee/custodian may require a signature guarantee (please contact your trustee/custodian to determine whether a signature guarantee is required). To obtain a signature guarantee, sign this form in the presence of an authorized person at a broker/dealer firm or other financial institution, such as a bank or trust company. A notarization from a notary public does not meet signature guarantee requirements.

_____ ___/___/_____
Signature guarantee stamp and authorized signature and title Date

STEP 7: CUSTODIAN'S ACCEPTANCE

Custodian's Acceptance:
State Street Bank and Trust Company agrees to accept the transfer of the above amount for deposit to the investor's State Street Bank and Trust Company Individual Retirement Custodial Account, and requests the liquidation and the transfer of assets as indicated on the previous page.

_____ ___/___/_____
State Street Bank and Trust Company, Custodian Date